

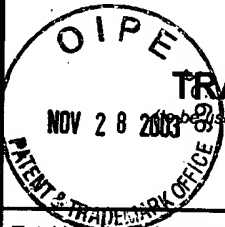
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PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	09/884,747	
	Filing Date	06/18/2001	
	First Named Inventor	H. Santini	
	Examiner Name	Anthony D. Tugbang	
	Group Art Unit	3729	
Total Number of Pages in This Submission	20 + postcard	Attorney Docket Number	SJO920000108US1

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
 - ☐ Fee Attached
- ☒ Amendment/Response
 - ☐ After Final
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Supplemental Information Disclosure Statement
 - ☐ PTO Form 1449
 - ☐ 6 cited references
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ PTO Form 1533
 - ☐ Response to Missing Parts Under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Request for Drawing Amendment
- ☐ Licensing-related Papers
- ☐ Petition Checklist and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer

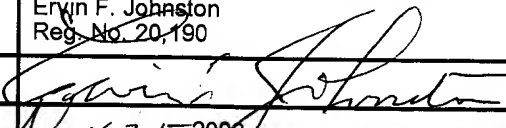
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

POSTCARD

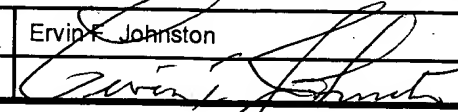
Remarks:

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Ervin F. Johnston Reg. No. 20,190
Signature	
Date	Nov 25, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: <u>Nov 25, 2003</u>		
Typed or printed name	Ervin F. Johnston	
Signature		Date <u>Nov 25, 2003</u>

Please type a plus (+) sign inside this box -



PTO/SB/17 REV 1 (12/97)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective November 10, 1998.

Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28

NOV 28 2003

TOTAL AMOUNT OF PAYMENT (\$172.00)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 09-0442
Deposit Account Name International Business Machines Corp.

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
101	201	750	375	Utility filing fee	
106	206	330	165	Design filing fee	
107	207	520	260	Plant filing fee	
108	208	750	375	Reissue filing fee	
114	214	160	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	5 - 20** =	2 x 18 =	
Multiple Dependent Claims	2 - 3** =	2 x 86 =	172.00

** or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent claims in excess of 3	
104	204	280	140	Multiple dependent claim	
109	209	80	40	** Reissue independent claims over original patent	
110	210	18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$172.00)

Complete If Known

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First Named Inventor	H. Santini
Examiner Name	Anthony D. Tugbang
Group / Art Unit	3729
Attorney Docket No.	SJO920000108US1

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
105	205	130	65	Surcharge - late filing fee or oath	
127	227	50	25	Surcharge - late provisional filing or cover sheet	
139	139	130	130	Non-English specification	
147	147	2,520	2,520	For filing a request for reexamination	
112	112	920*	920*	Requesting publication of SIR prior to Examiner action	
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	55	Extension for response within first month	
116	216	410	205	Extension for response within second month	
117	217	930	465	Extension for response within third month	
118	218	1,450	725	Extension for response within fourth month	
128	228	1,970	985	Extension for response within fifth month	
119	219	320	160	Notice of Appeal	
120	220	320	160	Filing a brief in support of an appeal	
121	221	280	140	Request for oral hearing	
138	138	1,510	1,510	Petition to institute a public use proceeding	
140	240	110	55	Petition to revive unavoidably abandoned application	
141	241	1,300	650	Petition to revive unintentionally abandoned application	
142	242	1,300	650	Utility issue fee (or reissue)	
143	243	470	235	Design issue fee	
144	315	630	300	Plant issue fee	
122	122	130	130	Petitions to the Commissioner	
126	126	180	180	Submission of Information Disclosure Stmt	
146	246	750	375	Filing a submission after final rejection (37 CFR 1.129(a))	
179	249	750	375	Request for continued examination (RCE) (37 CFR 1.114)	

Other fee (specify) Terminal Disclaimer
Other fee (specify)

SUBTOTAL (3) (\$)

*Reduced by Basic Filing Fee Paid

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SUBMITTED BY

Typed or Printed Name Ervin P. Johnston

Signature Ervin P. Johnston

COMPLETE (if applicable)

Reg. Number 20,190

Date Nov 25, 2003